



# PROSPERO SUITE

IAM & FAMILY OFFICE

DELIVER AN EXCEPTIONAL CUSTOMER EXPERIENCE - REACH OPERATIONAL EXCELLENCE



[www.finartis.com](http://www.finartis.com)





YOUR WORLD WILL  
NEVER BE THE SAME AGAIN



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# #1 BEST SELLING

IBS SALES LEAGUE 2019  
PRIVATE BANKING SOFTWARE

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“Price is what you pay, value is what you get”

Warren Buffet

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Sam Walton, founder of Walmart, once said: “There is only one boss: the customer. And he can fire everybody in the company from the chairman on down, simply by spending his money somewhere else.” At FINARTIS, we share Sam Walton’s philosophy. We put our customers first – your success is our success.

FINARTIS is a leading software publisher and entirely self-financed company, which was founded in 1986. We serve clients all over the world from our headquarters in Switzerland. We design and develop high-quality, multi-channel front-to-back office solutions for financial institutions such as private banks, asset managers and family offices. Our software allows them to build smarter businesses, improve customer loyalty and generate higher returns.

Our clients turn to our software to manage their evermore complex financial operations with maximum cost-effectiveness and efficiency. Our team of finance and technology experts works closely with our clients to understand their needs and create a comprehensive, flexible and efficient solution, which is easy to use and truly proactive.

## Digitally-enhanced Client Relationship

We offer out-of-the-box solutions that allow financial institutions to differentiate themselves by offering their clients a comprehensive suite of services. Bringing personal advisor relationships online allows you to free up resources that can be better used to develop and deepen client loyalty.

## Increase Front-Office Productivity

We increase the productivity of your client-facing staff by reducing their administrative tasks. Our software enables advisors to focus on what’s important: higher value-added services that increase revenue and deepen client relationships. The results can be seen in solutions which deliver both a substantial reduction in the cost-to-serve and an increase in cross-selling opportunities.

## Attain Operational Excellence

Our solutions enable you to implement fully automated processes throughout your entire value chain. Your organization’s operational risks are lowered through the timely and accurate processing of all operations. This in turn allows your company to reduce its overheads and increase its agility.

# About FINARTIS

## WE VALUE RELATIONSHIPS

Software is all about simplifying complex business situations and environments. This is what first attracted me to technology and inspired FINARTIS since its foundation in 1986 under the SAGE name as it was called then. I've put together a team which is passionate about technology and creating innovative and accessible software which is focused on value creation for clients.

Our clients come from a wide range of finance-related backgrounds. They have come to trust our advanced technology to manage the ever more complex array of financial operations they confront. Our solutions help them to deliver better service to their own clients, leading to higher client retention and improved margins. We seek to improve front office productivity and the value added services you can bring to your clients.

At FINARTIS, we're committed to working together with you to deliver middle- and back-office operations excellence through intelligent solutions. We're looking forward to you joining us in the Prospero community as one of our clients or partners. Together, we can strive to make the world of finance simpler, safer and more efficient.



# Our Clients

SWISS EXCELLENCE  
IN A SINGLE USER-ORIENTED SOLUTION

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"An investment in knowledge pays the best interest."

Benjamin Franklin

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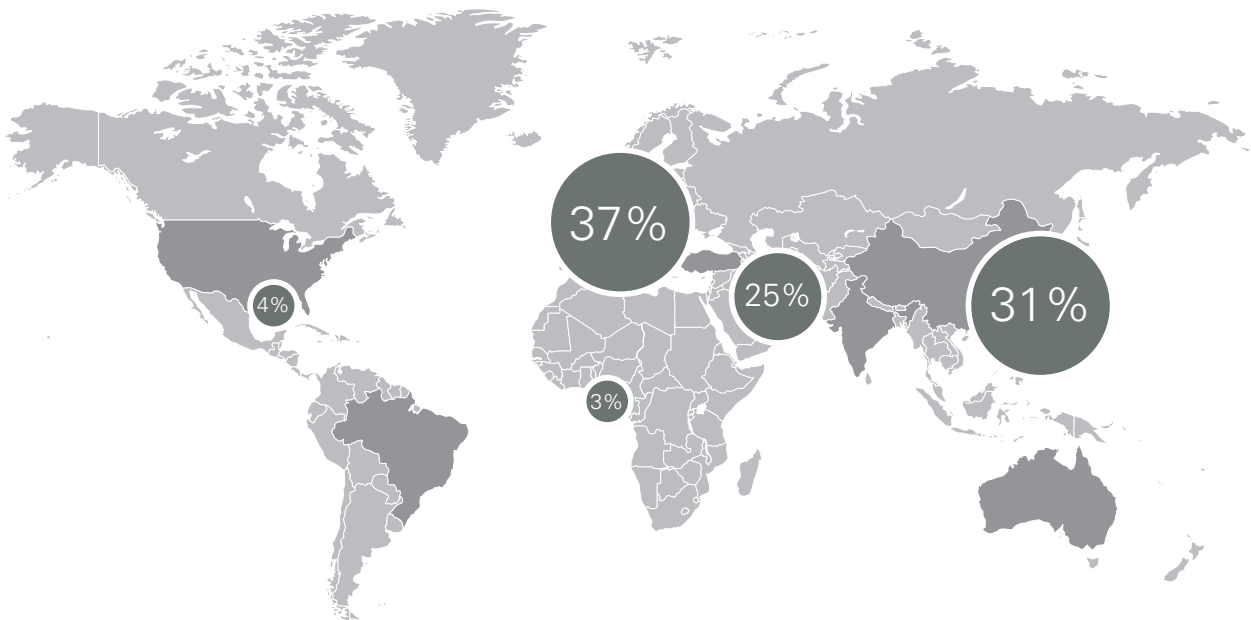


Fig 1. Prospero clients worldwide distribution

We serve customers all over the world from our offices in Europe, Asia-Pacific and the Middle East. Our diverse team of business and technical experts has a comprehensive set of skills that allow us to cater for

all our client's requirements. We're available around the clock to advise our clients and ensure efficient project implementation.



USD 500BN  
AUM

## Swiss Expertise and Best Practices at Your Service

Prospero suite was conceived in Switzerland, a country with a rich history in banking and finance. Switzerland's world-class banking industry is renowned for its diversity in products and services. Maintaining its status among the world's foremost banking industries requires highly dynamic systems that can support the wide range of operations it performs across the spectrum of asset classes, currencies and regulations. Prospero suite allows each client to navigate this environment and become a reference in its space.

## Global Reach

A range of financial institutions across the globe from private banks and family offices to wealth managers and asset managers turn to Prospero suite to assist in managing their wealth and automating their processes with our smart analytics and fully automated workflows. We enable them to gain new clients, while exceeding their existing clients' expectations through enhanced transparency and more intelligent investments.



## What Our Clients Say

"We are thrilled to welcome Treppides Fund Services in the Prospero users' community and assist them with their ambitious growth objectives.

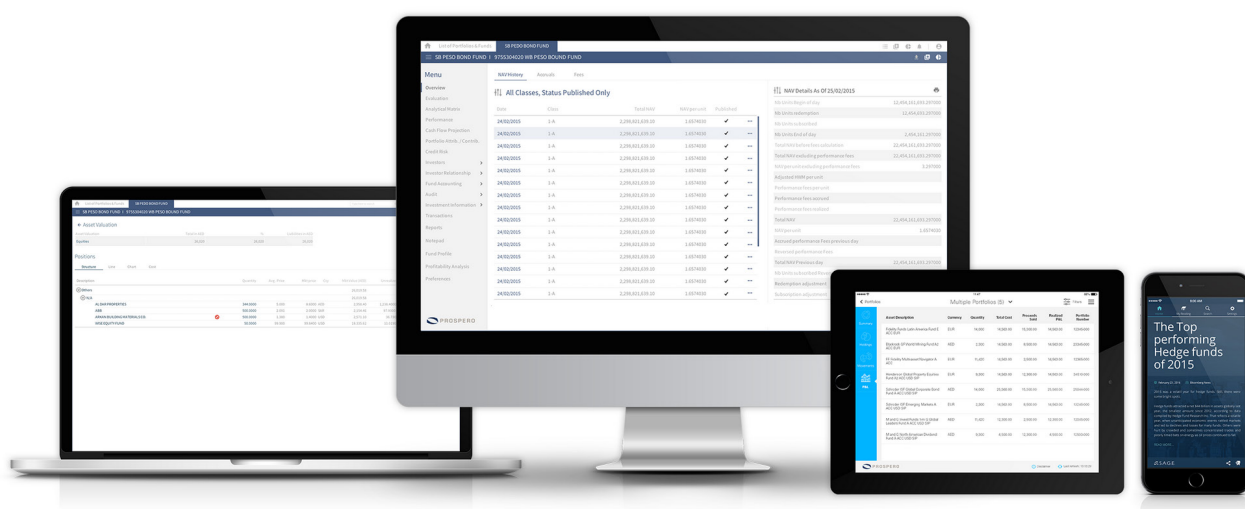
Prospero's unique modular design that integrates rules and workflow engines make it possible for smaller Fund Administrators such as Treppides Fund Services to benefit from a robust system otherwise only accessible to very large institutions.

After completion of the short implementation project, the new system will let Treppides Fund Services serve their clients with the level of excellence and reliability that they can only expect from the best Fund Administrators."

Andreas Yiasemides, Partner  
Treppides Fund Services Ltd

# Our Solution

VALUE CREATION THROUGH  
AN EXCEPTIONAL CUSTOMER EXPERIENCE



## Attain Excellence

Prospero suite IAM and Family Office comes with a full range of front-, middle- and back-office features. Its modules are delivered ready-to-market, easy to set up and user-friendly. This allows you to focus on the areas where you can add most value: strategy, investment performance and building strong client relationships right from the start.

## Everything You Need, The Way You Want It

One of our fundamental beliefs is that every organization has its own DNA. These inherent differences create a need for differentiated software. Prospero's built-in workflow and rules engine enable you to tailor its functions to the specific needs of your business. In addition, every company should have unique access management software, configured to suit the specific DNA of the company. The flexibility provided by Prospero means that your software package can now adapt to the evolution of your company.

## 01 Portfolio Consolidation

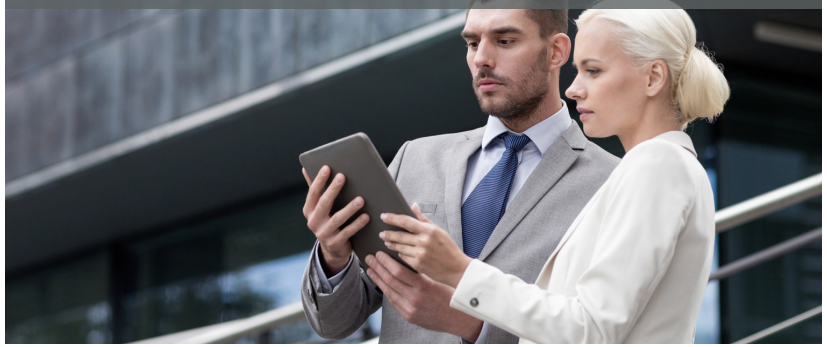




## 02 Customers Portal



## 03 Customers Management



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# DIGITALLY ENHANCE Y

The tablet screen shows a financial application interface. At the top, it says 'Multiple Portfolios (5)' with a dropdown arrow. Below this is a table with columns: Asset Description, Currency, Quantity, Total Cost, Proceeds Sold, Realized P&L, and Portfolio Number. The table lists several investment funds. On the left side of the screen, there is a blue sidebar with icons for 'Summary', 'Holdings', 'Movements', and 'P&L'. The 'P&L' icon is highlighted. At the bottom of the screen, there is a 'PROSPERO' logo and a 'Disclaimer' link. The status bar at the very bottom shows 'Last refresh: 13:12:29'.

Asset Description	Currency	Quantity	Total Cost	Proceeds Sold	Realized P&L	Portfolio Number
Fidelity Funds Latin America Fund E ACC EUR	EUR	14,000	14,563.00	15,000.00	14,563.00	12345-000
Blackrock GF World Mining Fund A2 ACC EUR	AED	2,200	14,563.00	8,500.00	14,563.00	23345-000
FF Fidelity Multi-asset Navigator A ACC	EUR	11,420	14,563.00	2,500.00	14,563.00	12345-000
Henderson Global Property Equities Fund A2 ACC USD SIP	EUR	9,300	14,563.00	12,300.00	14,563.00	34510-000
Schroder ISF Global Corporate Bond Fund A ACC USD SIP	AED	2,300	14,563.00	25,560.00	25,560.00	25644-000
Schroder ISF Emerging Markets A ACC USD SIP	EUR	11,420	12,300.00	8,500.00	14,563.00	13245-000
M and G Invest Funds 1-m G Global Leaders Fund A ACC USD SIP	AED	9,300	4,500.00	12,300.00	12,300.00	12500-000
M and G North American Dividend Fund A ACC USD SIP	AED					

## Portfolio Consolidation

### 360° Overview Of Customers

Prospero is a versatile multi-channel platform, which has been designed to be accessed anywhere and on any device. Prospero increases the productivity of your client-facing staff by providing direct access to everything they need in a single click, thereby significantly reducing their administrative tasks. As soon as your conversation begins with a client, you have a complete 360° overview of where their portfolios have been and where they are headed to, as well as gaining the confidence to make suggestions about where you believe they can make improvements. Our analytics provide customers with a better perspective of day-to-day performance, valuation and forecasted future cash flows.

Our consolidated view module has been proven to be the most valuable tool of all for a family office. All of Prospero's analytics and reports can be exported, printed or shared on demand. Every output generated by the system is designed to be both relevant and readily presentable to your end client.

### A Pro-Active Sales Management Tool

Clients have placed advisors in a privileged position, trusting them with their family's capital and their long-term prosperity. This responsibility demands that relationship managers are proactive to the client's needs. Prospero helps relationship managers to be more proactive by suggesting targeted investments to your prospective and current clients. It's proactive because you are. Prospero provides users with the ability to suggest the most suitable investment strategy and asset allocation for each and every client. As with all the best relationship managers, Prospero's aims are to consistently exceed client expectations with regard to their time horizons, investment objectives and constraints.

### Customer Presentation

Why not give an even more amazing impression to your customers. Our web client platform and tablet application provide relationship managers with everything they need the way they need it. Satisfying your customers isn't enough – you need to impress them. Our web client platform and tablet application provide relationship managers with the tools they need to fulfill this. The intelligence of the platform allows you to provide customers with an overview of their portfolios, advise on new investments and show how the investments will affect the profile of their portfolio.

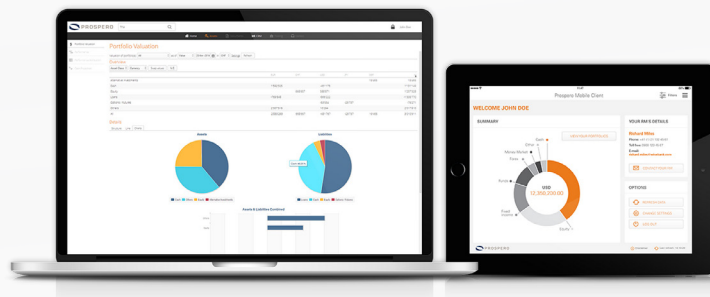
### Consolidation

One of the greatest things with Prospero is that it does not only compare and match the holdings with holdings from other sources, it also categorizes thousands of transactions in seconds for further processing. You will appreciate the power of our Exceptions Engine. It allows you to take advantages of its 30 years of experience to minimize the number of exceptions and considerably reduce manual tasks.



# “SAVE TIME AND MONEY WITH

## Digital Customers Portal



### Provide Customers With The Transparency They Require

Give customers an amazing digital experience. Our web client platform and tablet application provide customers with a 360° overview of their portfolio valuation, performance, future cash flows and personal reports. Give customers access to your investment strategy. Our configurator lets you easily personalize the user experience, from the visual design to data visualization, and available reports and fact sheets.

### Make Customers Relationship A More Personal Experience

Provide each individual customer with an exclusive digital relationship experience, using the most modern features such as secured messaging and report sharing. We have designed each and every feature to lead customers to create their own uniquely suitable experience on the basis of their contact preferences.

### Differentiate Yourself Through Customization

Just as no IAM & Family Office companies are identical, no two software systems should be identical. Different enterprises will look for emphasis in different areas. Prospero's white label solution allows you to increase your brand reputation with a high quality, easy to use digital application. You can customize the functioning, look and feel of your whole system with a few simple clicks.

Visual Design

Reports

Extensive Access Management

Data Visualization

## Customers Management

### The Smartest Way To Promote

Our powerful Marketing module is much more than a great way to promote your investment and enterprise strategy. Now you can target current and prospective customers with tailored multi-channel campaigns. Prospero helps to make your communication totally professional from the outset. And when they're ready, you just send your individual or mass-targeted messages, emails, news and articles in a single click. Once sent, you can track all the feedback you receive so as to improve your client profile, enterprise strategy, investment direction and marketing communication.

### CRM with a capital “C”

If 30 years in this industry has taught us anything, it's that the client is what drives success. When it comes to CRM, the initial C – client – should be capitalized to emphasize its importance. Our CRM tool reflects this view. Track and manage clients' investment goals and objectives, investment constraints and preferences. You can also set monitor and contact preferences (including reporting and sales-marketing) so that your relationship with the client matches their requirements.

Extensive Information including Corporate Information	Client Relationships (E.g. Business, Family, etc.)
Contacts and Preferences	Investment Goals & Objectives
Investment Restrictions, Rules and Constraints	Suitability of the funds - MIFID
Investment Preferences, fees and Marketing	Customizable Sections

### Prospero a Solution that seeks to Minimize your Compliance Costs

Regulations governing business-client relationships become increasingly stringent while clients themselves are understandably more demanding in areas like transparency and risk management. Our KYC tool allows you to approach clients on a more confident footing, giving you extensive client due diligence, compliance & profiling (AML, KYC e.g. MIFID) as well as accurate and up-to-date client risk profiling.

### FATCA & CRS

The newly issued FATCA & CRS reporting standards require international organizations to comply with a new set of challenges. Prospero has developed a solution that recognizes these new standards, and allows users to identify and update a “change in circumstance” in a customer's FATCA/CRS status.

### Simplify Tasks And Deepen Relationships

With Prospero, you have everything you need to create perfect relationships. Configure preferences to remind you of each major event. Schedule and organize your contact activities with our simple-to-use Organizer, and generate tasks to perform using predefined processes. With Prospero, tasks such as preparing for client meetings and tracking feedback are effortless. In short, you will always be on track and on the ball.

“BE SMART. DON'T TRY TO TIM



## Key Asset Classes Coverage

Equity	Fund	Deposit & Loan
Private Equity	Hedge Fund	Structured Products
Real Estate	Fixed Income	Cash
Limited Partnership	Derivative	And more



## Investment Strategy & Portfolio Management

### Optimize Returns In A Mature Market

Our Investment Strategy and Optimization module allows you to analyze all your investments options. It guides you through an informed process in selecting the best asset allocation for your portfolio's risk profile taking into account extreme events. Our financial models have been built on over 20 years of combined academic research aimed at finding an improvement of the risk metrics commonly used.

### 360° Overview Of Models, Funds & Portfolios

Our powerful Model, Fund and Portfolio Overview empowers you to fine-tune your investment strategy to make value-creating investments. It enables you to compute and analyze performance using a variety of methods: now you can slice and dice P&L into several dimensions, compare your portfolios against individual or blended benchmarks, access all of your analytics libraries and take advantage of several other features

### Model Management

Prospero allows you to manage your portfolio models in accordance with your investment strategies. Prospero keeps it simple: now you can tailor your portfolio weights to match their tactical and strategic objectives in a single click. You have also the capability to automatically track market fluctuations using drifting models to ensure that each portfolio is weighted correctly. Our multi-level model overview leaves you in control of every specific need you have in terms of analytics. Prospero then outlines the trade orders required to automatically or semi-automatically rebalance the portfolios in the context of its individual investment constraints and compliance rules.

### Order Management

Our configurable order life-cycles are designed to be simple and efficient, while maintaining multi-broker relationships. You can quickly and easily generate pre-filled multi-portfolios or multi-securities orders. Prospero supports a large range of asset classes, from the most common ones such as Long/short equity, Fixed-Income and Funds to more complex derivatives and illiquid classes such as Private equity and Real estate. Prospero's Order Management checks the compliance with the defined set of rules, including regulatory, and performs collateral monitoring on leveraged portfolios.

### Your Personal Dashboard

The investment strategy and portfolio management dashboard is a customizable dashboard, providing a 360° overview of daily tasks, notifications and recent activities. Prospero offers the ability to design and configure dashboards the way you need them, from configurable task generation to widgets and notifications you need to access. In this way, it becomes easy to know when you have to rebalance portfolios relative to a specific model or price change.

## Illiquid and Alternative Investments

### Generating Alpha In Alternative Investments

Prospero integrates everything you need to optimize your alternative investments such as private equity, real estate, venture capital processes while delivering insights into performance and exposure.

### Key Asset Classes Coverage

Private Equity	Real Estate	Venture Capital
Commodities	Hedge Funds	Art
Private Lending	Private Investments	And more

### 360° Overview

The range of performance measures generated by alternative investments call for advanced analytics. Prospero provides access to a 360° overview of alternative asset classes, enabling clients to see where value is being created. Choose KPIs and analyze performance through a range of methods. Then, use our constantly updated data to see how your portfolio stacks up against individual or blended benchmarks, visualize risks and exposures and make sophisticated forecasts based on your portfolio composition.

### Limited Partnerships: Maximize Efficiency in your Investment Events

Prospero's Limited Partnerships module enables users to gain an effective overview of every investment event, such as commitments, capital calls, dividends and fees. All of the Limited Partnership's investment activities are accessible from one easy-to-interpret interface, allowing users to drill down to as much detail as they require. The in-built flexibility of Prospero's Limited Partnership module gives users the possibility to create and modify as much or as little as you desire.

### Risk Management

With Prospero you have everything you need to make better investment decisions. For any alternative investments you have access to a comprehensive global view of your risks and exposures. Drill down into details of any of your concentration and correlation risk areas. Our built-in configurator enables you to create new risk categories and scenarios, providing feedback on how each can play out. Through quantifying then reducing your alternative investments' risks and exposures, the risk management process has never been so effortless.

# ALTERNATIVE INVESTMENTS “





## Pricing & Valuation

### Prospero Internal Valuations - Formulas

With Prospero, you have everything you need to manage automatic valuation processes in an efficient way, using the Prospero formula feature. Our solution provides the transparency that you need around your valuations processes and demonstrates effectively how a value was calculated and controlled.

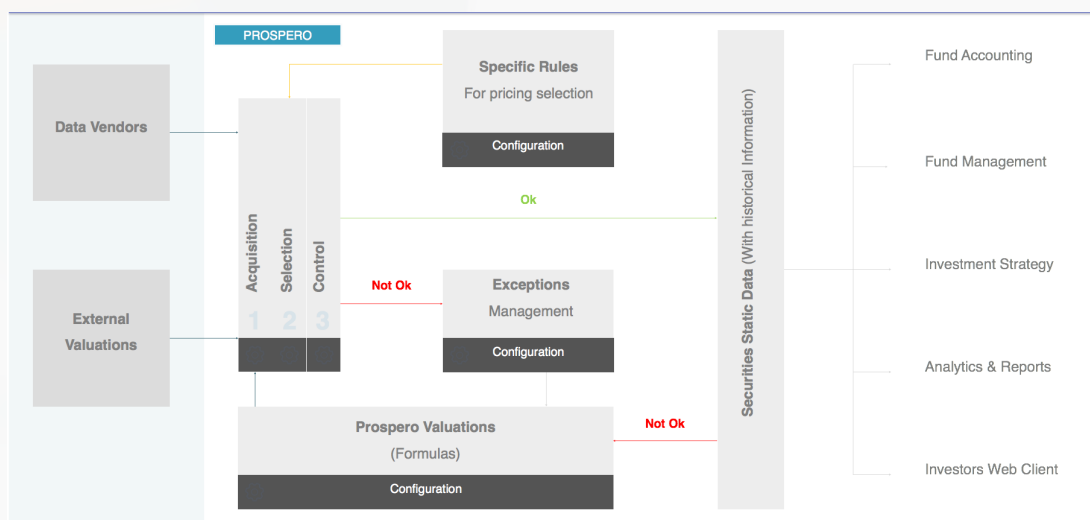
### Your Central Point of Control, Quality and Transparency

Our solution offers a central point of acquisition, selection and control, which guarantees quality and transparency for pricing. Acting as a single point of communication with external data providers, Prospero automatically collects and evaluates prices the way you want to, from one or multiple sources that you require:

- Pricing Vendors (e.g. Bloomberg, Reuters, etc. )
- Internal data feeds (e.g. Web Services, CSV imports, etc.)
- Internal valuation micro-services algorithms.

### Efficiency in Pricing & Valuation Operations and Exception Management

Visualize your Pricing & Valuation data in a single, comprehensive, blotter interface. Access a consolidated overview which offers an advanced real-time view of security static data and several other features. Check and validate pricing easily in the case of exceptions.



Pricing & Valuation Process

## Custodian Interfaces & Reconciliation

Successfully automating the data management process requires the efficient organization of vast amounts of third-party data. The data may be received at different times and in different formats, and is sometimes incomplete. Interpreting the data correctly therefore requires a system capable of dealing with these variables.

SAGE has established a wide range of connections to custodians, and data providers and facilitates core-banking systems integration through its open API. This allows us streamline and automate your middle and back office with advanced connections from numerous sources and to present data in a clean and coherent manner. Our team of finance and technology experts connects you to your counterparties and market infrastructures worldwide, enabling standardized, straight-through processing from settlement to corporate actions, saving costs and reducing risks.

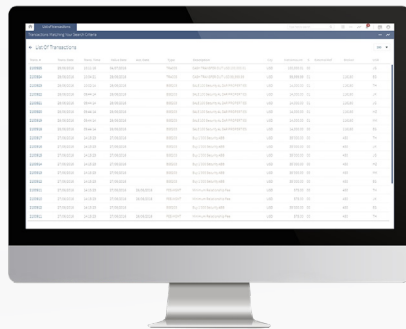
### Connectivity With Custodians

Saving time and limiting operational risk is a fundamental goal of Prospero. Prospero provides comprehensive multi-currency, multi-asset class and multi-market support for any transaction using automatic trade transmission reconciliation between your back office and custodians/brokers.

With Prospero, managing and manipulating data to meet the requirements of the custodian's business and software has never been so effortless. Its intuitive interface processes daily movements (securities, cash and contracts) and positions to ensure that the system is 100% reconciled as soon as processing has been executed whilst simultaneously recalculating the fees collected by the counterparties to verify their accuracy.

SAGE has developed deep knowledge and expertise of global custodial services and maintains strategic relationships with the most commonly used custody and data providers. In this way, Prospero provided standardized connectivity using ISO messaging standards and SWIFT connectivity as well as ad-hoc formats.

Prospero automatically consolidates the information you need from thousands of custodians, funds and specific connections for automatic reconciliation and posting. With all the data now readily available, you can now complete the process such as trade settlement and deal confirmation, process transactions and position reconciliations more efficiently than ever before, freeing up staff to focus on higher value-added processes.



## Fees

Prospero provides complete control and organization – everything you need in terms of automated fee calculation and processing is provided. It allows you to integrate checks throughout the fee process, making it more reliable, cost effective and efficient. The daily challenges faced in terms of fees include asset valuation after distribution, AUM segregation, application of commission rates/tiers/discounts, calculation of performance/high water marks and a range of other complications. Prospero has been designed to cater for every eventuality, so that you spend less time on small, but nonetheless essential tasks.

### Automated Workflows and Controls

Prospero offers all the standard fees you would expect (e.g. Management Fees, Custody fees, audit fees and virtually any other user-definable fee type). One of the best things with our Fees module is how simple it is to manage the entire fee process. Our Rules engine offers eye-opening flexibility. Create new types of fees and manage each and every automatic rule calculation you need in just a few clicks. From global level to specific rules, you can now manage every specific calculation when you need to.

Our Fees module is easy to configure and allows you to manage all types of fee and commission structures consistently and accurately. From fixed amounts through simple percentages to complex algorithms with conditional logic, our Rules engine interface is so user-friendly that you need no IT knowledge to achieve what is needed.

Prospero provides automation in the calculation and processing of invoices, accruals, accounting entries and cost allocation. The entire commission calculation and payment process is managed in a single flow. This is all powered by a processing engine specifically designed and configured for all aspects of commission and retrocession management, allowing for rapid, reliable and transparent data processing, calculations and real-time reporting.

### Exceptions and Exclusions

Prospero offers a complete, comprehensive overview of your diary tasks, exceptions and exclusions.



## Middle & Back Office

### Execution Management

Prospero provides all the major features that traders need to be efficient in their daily tasks. Visualize your transaction tickets in a single, comprehensive, blotter interface. Access a consolidated overview which offers an advanced real-time view of trading activity and execution status. Check and validate orders easily in the case of investment restrictions, a breach of compliance or a broken credit limit, or adjust any information relative to the deal ticket, such as traded price. Prospero can also be connected electronically to your counterparts to implement straight-through processing. Orders and executions automation is generally implemented using the FIX protocol or ad-hoc messaging formats. More manual workflows involving emails or even fax can also be implemented.

### Post Trade Processing

Prospero automates the entire post trade process, significantly reducing the workload of value-adding employees. Managers can rest assured that everything from trade transmission to book and confirmation is being taken care of by an efficient tool, which has been developed by a team of dedicated practitioners. The Prospero post-trade operations module provides comprehensive multi-currency, multi-asset class and multi-market support for transactions using automatic trade transmission (STP) between middle/back office and custodians/brokers. Post-trade instructions and confirmations are implemented using SWIFT messages. Manual processes and ad-hoc communications can also be implemented. Prospero provides the opportunity to create and modify/reverse transactions manually if you so require. You can carry out detailed monitoring of transactions and follow settlement status using a comprehensive transaction blotter and a series of filters. The main financial instruments/ operations supported by Prospero are equities, fixed incomes, derivatives, money transfers, cash withdrawals/remittances, guarantees, loans, forex, contracts, and money market deposits.

### Exceptions Management

Prospero offers a user-oriented dashboard which enables multiple visualizations across the full reconciliation data set and its Alerts Inbox offers instant insight into exceptions and root-cause analysis. In addition, the exception management capabilities ensure that all your reconciliation actions can take place in just a few clicks.

### Create and Manage User-defined SSI Rules (Standard Settlement Instructions)

With Prospero, you can create and manage the user-defined SSI rules you need so that the settlement and reconciliation process has never been so effortless. Our Rules Engine offers eye-opening flexibility. Manage each and every automatic rule you need in just a few clicks. From global level to specific rules, you can now automate and standardize the entire process.

### Reconciliation

#### **Trade confirmation, standard settlement instructions, post trade matching, settlement and reconciliation**

Prospero offers a focal point for managing the reconciliation processes for every type of financial instrument. Our solution automates and standardizes the entire process – from importing data files, through matching data and reports exceptions.

## Fund Administration

### Maximize Efficiency in your Fund Administration Processes

Prospero's Fund Administration module offers you a comprehensive range of features, including automated processes and report generation, giving you the time to focus on the higher value-creating aspects of your business. Our 360° fund overview allows you to analyze, manage and personalize the activities of your fund in just a few mouse clicks. Within seconds, managers can access the day's assignments, automated accounting operations (including exceptions), overall fund positions as well as the objectives, investment style and strategies of every fund. High quality reports (full audit trail, compliance, general ledger) can be generated efficiently and on demand. With Prospero, everything has been created with the modern financial manager in mind. Ensuring that a fund's activities are in line with its prospectus has never been so effortless.

### Automatic NAV and Pricing Calculation

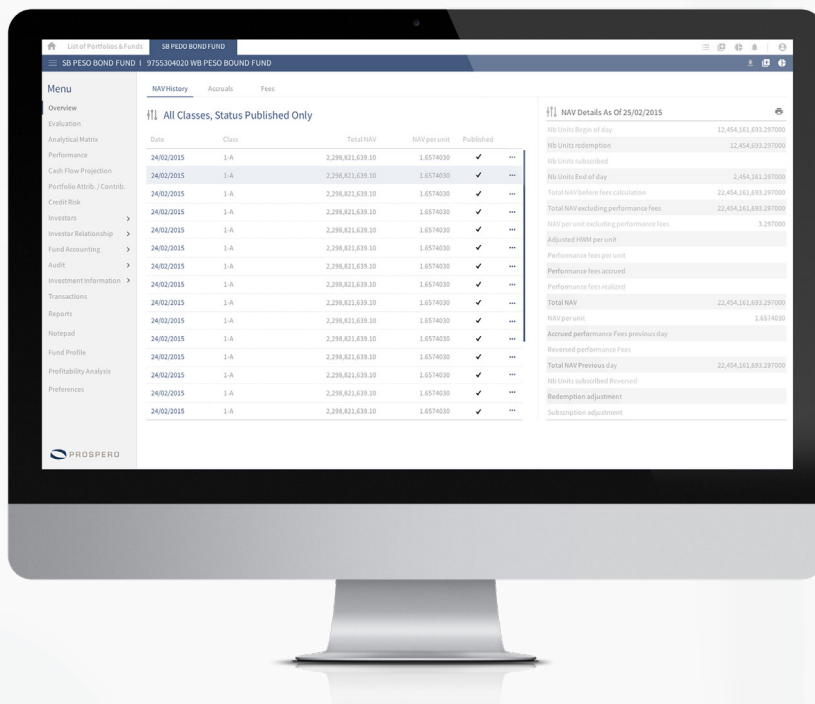
Fast and accurate NAV calculation is an essential part of the fund administration process. Prospero has an automated NAV and pricing calculation, which can be applied across a company's range of funds, including multi-class and master-feeder funds – all within a single mouse click. Prospero also allows NAV variation checks and pricing controls. Corporation actions can be automated or processed manually, giving users the flexibility they require. In addition, all changes made are recognized across the system, from pricing, fee processing and general ledgers, making the process more efficient and accountable.

NAV Automatic Calculation	NAV Variation Checks and Pricing Control
Corporate Actions Processing and Control	Accruals
Fee Processing and Control	Unit Allocation

### Reconciliation And Exception Management

Fund managers face a daily challenge in reconciling positions and exceptions across their funds. Implementing these daily tasks efficiently and accurately is essential to minimize operational risks. What's more, the challenge has been exacerbated by ever changing global and cross-border regulatory environments, Dodd-Frank and European Markets Infrastructure Regulation (EMIR) to mention just two examples. A dedicated team of technical and financial experts at SAGE have developed a comprehensive user-friendly solution, which allows companies to respond to these challenges. Prospero allows fund managers to visualize a full history of their funds activity, accruals, fees and NAV. Whatever the level of detail you need to access, Prospero can cater for the demand in a click.

# AND QUALITY AT THE FOREFRONT



## Transfer Agency

### Module's Overview

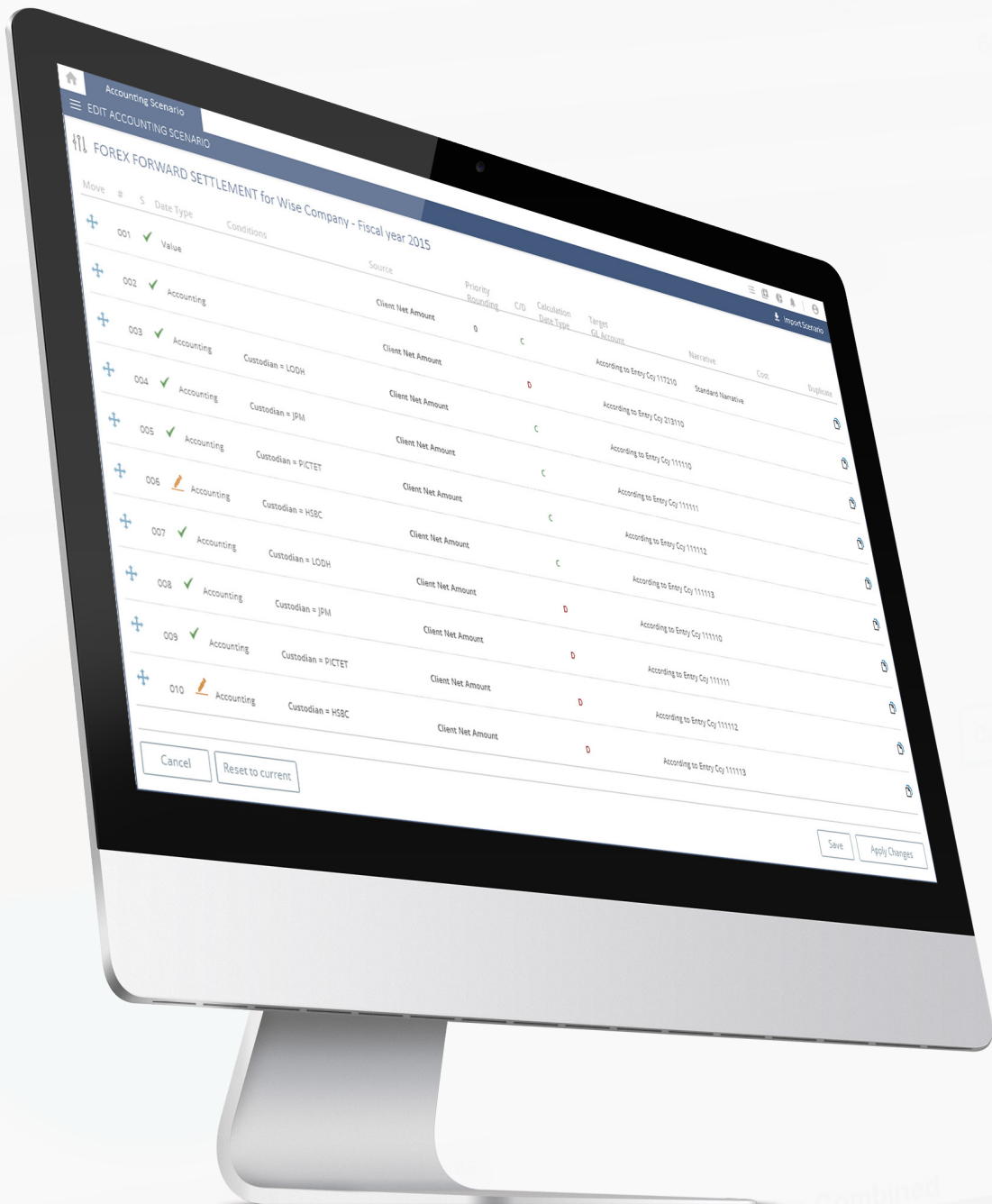
Regulatory changes in the past decade have significantly changed the role of the transfer agent. Recognizing the evolution demanded by these changes, our transfer agency module has been designed with flexibility at its heart. It enables companies to better manage their subscription and redemption lifecycle, shareholder registry and unit allocation. Managers can access and edit their clients' profiles in real time, and take advantage of the scalability offered by the module.

- Transfer Agent registration for account opening,
- Booking of subscriptions/redemptions, unit allocation and contract notes generation,
- Automation of all events affecting the shareholders such as distributions, fees and other actions,
- Ownership transfer between registrars,
- Data uploads (investors data, subscriptions, redemptions and others)

### Reporting

Prospero allows clients to deliver detailed and accurate high-quality reports to their investors. Each report is based on templates that can be configured to the individual requirements of the client with extensive options for charts and additional details. These reports can be scheduled to run at pre-set intervals and once generated, can be printed, converted to PDF files and e-mailed to the clients, or simply uploaded to the client's own private account on the web portal.

“MAXIMIZE EFFICIENCY IN YOU





# OUR ACCOUNTING PROCESSES “

## Accounting

### Maximize Efficiency in your Accounting Processes

The Prospero Accounting module offers a comprehensive range of features, including automated processes, solutions for legally complex structures and report generation for any of your account requirements (IFRS, Swiss GAAP, US GAAP, etc.), allowing managers to focus on the higher value-creating aspects of your business.

### Fully Integrated Books and Records

All transactional activity is automatically translated in real time into double entry accounting postings based on flexible entry templates. Prospero's accrual module also posts all relevant accrual entries for holdings revaluations, derivative replacement value, revenue from fees and financial instruments.

### Accounts Receivable and Payable: A constantly updated view of financial ins and outs

Prospero's Accounts Receivable and Accounts Payable model allows users to keep an ongoing track invoices and payments, giving an updated overview of where your company's accounts stand, taking into account amortization and fees for delays.

### General Ledger

Prospero suite is designed to provide a complete real-time view of a company's financial records, providing full audit trails and data integrity for each accounting entry. Prospero offers long and short accounting and predefined income processing for the entire accounting process. From now on, you can organize your general ledger how you want it. Whatever the transaction, it can now be mapped to the right accounts. Maintaining Single/Multi-currency General Ledger for trading and settlement activity and consistency is ensured thanks to a 360° overview of all general ledger balances. Everything can be scheduled, monitored or changed in just a few clicks. As a result, all GL entries are generated automatically, including powerful correction processing and reevaluation. The package features user-defined templates, which establish customized defaults in most input fields for each security type, saving you time on data entry and improving data accuracy.

### Simplify Task Management

Schedule and organize your activities with our easy-to-use organizer. Generate automated accounting processes such as accruals and reports to be delivered at a timeline of your choosing.

### Meeting Accounting Requirements

FASB – Financial Accounting Standards Boards

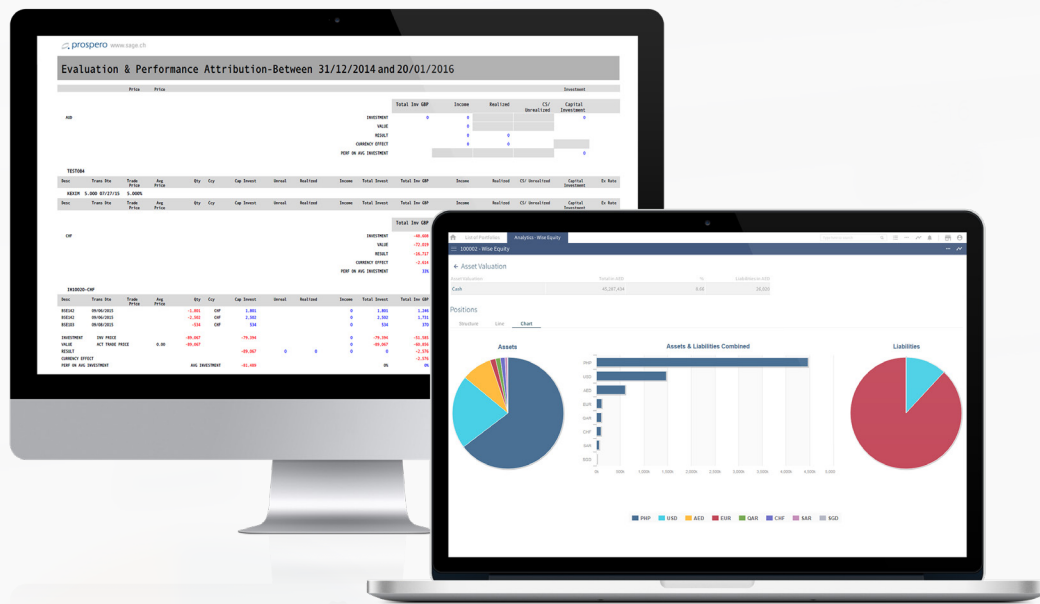
IFRS – International Financial Reporting Standards

Tax Rules

Statutory

Enterprise Management

## Analytics & Reports



## ANALYZE PERFORMANCE AND VALUE IN A CLICK

### 360° Customer, Model, Fund & Portfolio Overview

IAM & Family Office is nothing without good analytics. Prospero comes with a 360° Customer, Model, Fund and Portfolio Overview, with a collection of analytics for the things you do every day, such as valuation or performance calculation. We provide you with a large range of specific business analytics tools based on your specific requirements. A Call to Action is provided so that whatever the analytical function you are in, you can identify opportunities, make data-guided decisions and execute the smartest trades.

### Export, Customize and Share with Perfect Simplicity

Export, print or share all the analytics and reports you need to. From configurable automatic generation to specific on-demand needs, our Reports Editor enables you to deliver impressive targeted quality in terms of content and visual presentation.

# YOUR DECISIONS “

## Key General Analytics

Evaluation	Performance	Profit & Loss
Cash Flow Projection	Perf. Attribution / Contribution	Profitability Analysis

## Key Investment Strategy Analytics

Return Anylsis	Benchmark Analysis	<b>Portfolio Alpha Protection</b>
Metric Analysis	Allocation Analysis	Performance Deviation

## Key Credit Risk Analytics

Evaluate Rates	Credit Risk Evaluation	Simulation Positions
Exposure Without Pledge	Counterparty Risk Analysis	Debtor Analysis

## Key Fund Administration & Accounting Reports

General Ledger	Investment Ledger	Profit and Loss
NAV	Margin Accounts	Investment Borrowing Power

## Key Audit Reports

Positions and Interest of Bonds	Subscription and Redemption	Profit and Loss
Positions and P&L of Securities	Units per Nav History	Fees

More than 100 reports are included

# Our Innovation Plans

BE READY, YOU WILL ALWAYS BE ONE STEP AHEAD

“Digitization is rewriting the rules of competition, with incumbent companies most at risk of being left behind.”

McKinsey







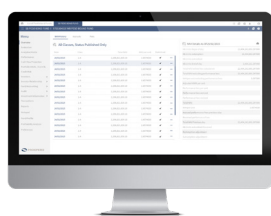
# DISCOVER WHAT'S NEXT



## Dynamic Reporting & Self-Service BI

Take the best decisions to improve your strategy and communication skills. Use smart and attractively predefined dynamics dashboards designed especially for senior management. Let your business experts easily create dashboards. We use the latest Business Intelligence and self-service BI technologies.

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## Prospero suite - IAM & Family Office

To provide you with the best possible experience, all of our departments including business experts, the innovation team and the customer and user experience team, have worked closely together to design Prospero's Insight feature. With it, promotion has never been so straightforward. You and your customers will access to up-to-date and relevant industry-leading news and enterprise strategy articles just the way you like to consume them.

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## Tablet Version 2.0

Why not give an even more amazing first impression to your prospective customers by using high quality pre-defined dynamic reports designed for presentations. Ensure your promotion by sending targeted news directly to your customers' communication devices, thereby creating an even greater desire to subscribe. Our investment Store will suggest funds by tracking your customer behavior and preferences.

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## Smartphone

Offer your customers permanent access to the most important investment information. Send targeted news and promotions. Enable customers to contact you via a secured messenger that includes documents, reports, audio, or promotions.

# Implementation & Licensing Options

## PROSPERO SUITE

CAN BE IMPLEMENTED IN JUST A FEW WEEKS

### System Installation

FINARTIS builds and delivers the system with a clean environment containing no data or setup. FINARTIS provides technical training on maintaining Prospero. Training will differ if system is hosted on our premises or delivered to customer.

### System Deployment

Our team of business analysts will gather customer specific information and setup customer specific parameters using the "Prospero Setup" meta-tool. Training is also provided to users during this period.

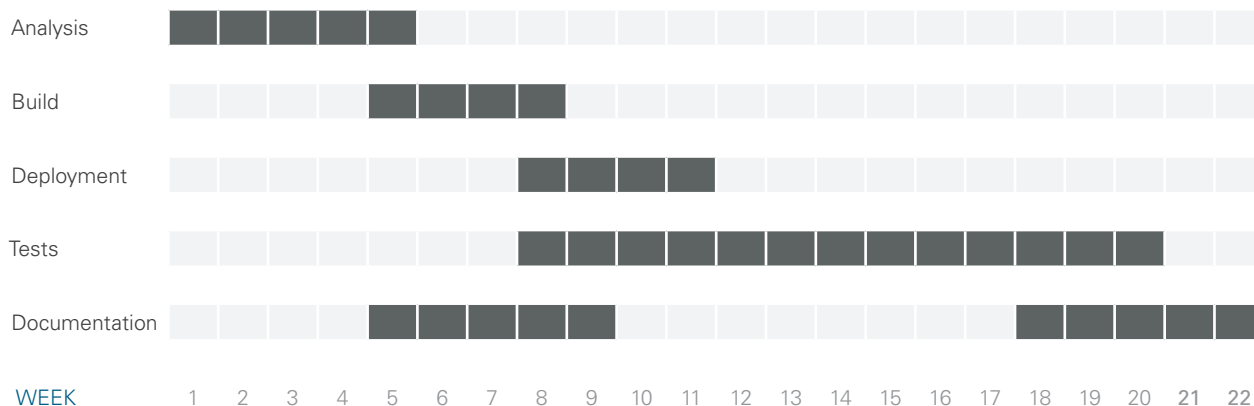
### UAT

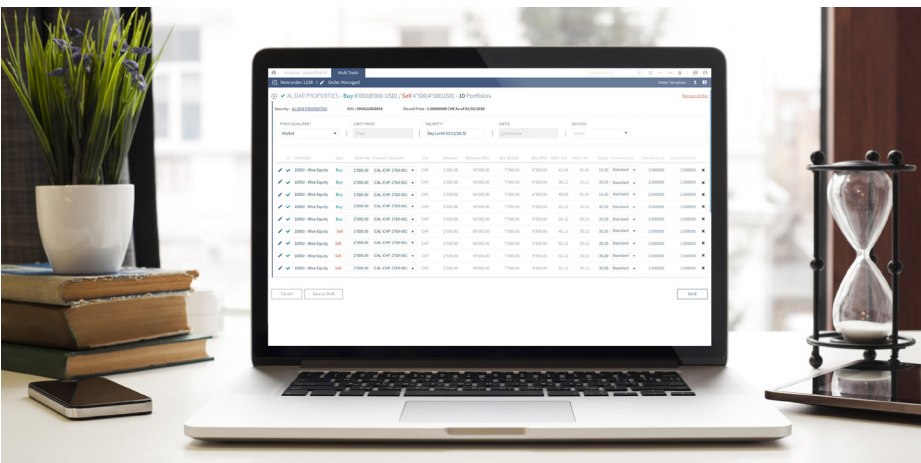
Client tests the system with the assistance of our business analysts.

### Post-Live Support

Our technical staff remains available for immediate response time during the go-live period.

## STANDARD PROJECT MILESTONES





# FAST TIME TO MARKET

## LICENSE, SERVICES AND MAINTENANCE FEES

Prospero is available either in rental mode or through a one-time perpetual license purchase with yearly maintenance and support. The rental option includes the system itself and all the required infrastructure and services required to run it. Purchased systems can be installed on-premise or hosted.

### User License

Allows access to the system's user interface.  
One user license per named user is required.

### Server License

Allows usage of the system's core functions.  
One server license per site is required.

### User Support

Gives access to the 24x7 user support site.  
Coverage is during business hours or up to 24x7 based on the level of service you require.

### System Maintenance

Gives the right to install service packs and new releases of the system.

### The optional hosting service covers:

- One production server
- An access to the proposed software products through a secured connection via internet.
- A firewall which monitors and filters network traffic between the Internet and the protected zone.
- Secure remote access via VPN
- A sufficient storage and computing capacity
- A standard backup process of the production data
- Maintenance operations of the infrastructure components (e.g. server, databases, software).
- The backup and recovery process.

Every great collaboration starts with a conversation

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