

Wealth Management

Asset Management

Fund Administration

Internet Access: Wealth Advisor

Independent Financial Advisor

Treasury

Trading

Credit Management & Margin Trading

Core Operations



SYSTEM OVERVIEW PROSPERO



prospero
simplifying the world of finance



Today's financial companies need sophisticated software solutions. But with so many options on the market—most of them with different strengths—financial companies must often purchase different solutions and build interfaces between them. And that process never stops: Deadlines aren't met, and budgets are exceeded.

Imagine a new kind of financial software.

Now you have another option. Prospero is a revolutionary financial software that fills the needs of portfolio managers, brokers, traders and other financial professionals, such as risk and operations managers.

Integrate all the functionality you need in one system.

Prospero is a comprehensive front-office system supported by a robust back-office system: It has multiple solutions that address all aspects of financial operations. Wealth Management. Asset Management. Fund Administration. Internet Access. Independent Financial Advisor. Treasury. Trading. Credit Management and Margin Trading. Core Operations.

Evolve with a financial solution that adapts to your changing needs.

Solutions can be implemented as an integrated whole or individually on an as-needed basis. Use them all—or only the ones you need. You can always add more later—and integration is seamless.

Improve by demanding excellence from your financial solution.

Convert leads to clients, trade and book all types of financial instruments, manage funds, benchmark your performance, create professional reports that inspire your clients—and much, much more. Prospero includes all of the functionality necessary to run a small or large financial company—without confusing interfaces and hefty fees.

Wealth Management

Commit to excellence with a state-of-the-art front-to-back-office solution

Prospero's Wealth Management solution is a comprehensive financial platform that provides the features of all other Prospero modules: Asset Management. Fund Administration. Internet Access. Independent Financial Advisor. Treasury. Trading. Credit Management and Margin Trading. Core Operations. Run advisory or discretionary business, manage leads and convert them to clients, profile clients, trade all types of financial instruments, monitor compliance, benchmark your performance, create graphical reports, view KPI dashboards and more. In other words, this sturdy solution includes everything you need to run a wealth management business, from the front office to the back office.

Empower yourself now:

relationship managers, financial advisors, portfolio managers, credit officers, traders, compliance officers, CEOs, CFOs, COOs

Asset Management

Stay ahead of the curve by analyzing and trading any kind of financial instrument

Prospero's Asset Management solution helps you create the desired asset allocation, rebalance portfolios to mimic models, build customized benchmarks, compare your performance to a benchmark's, calculate performance attribution and contribution, conduct stress testing, and produce detailed risk analytics. It captures trades for online routing to brokers or banks, warns you if investment restrictions are breached, books transactions online, and calculates fees and commissions. It includes a flexible, graphical reporting feature that gives your reports your firm's look and feel. The solution also includes comprehensive fund management and administration functionality. This powerful straight-through-processing (STP) feature provides you with the full cycle of asset management from simulation, to decision taking, to execution and booking.

Empower yourself now:

portfolio managers, institutional fund managers, fund managers, brokers, traders, independent asset managers, family offices

Fund Administration

Streamline Fund Administration with all the functionality you need in one system

Prospero's Fund Administration solution lets you trade any financial instrument in any asset class. Online subscriptions and redemptions are available with flexible time periods. Create investment restrictions and monitor compliance with automatic pre- and post-trade checks. Calculate NAV for a number of fund structures in any base currency at any frequency. Calculate all types of fees. Compare your performance to a benchmark's and produce detailed analytics. The solution comes with a full set of standard reports and lets you customize your own reports. It also handles fund administration, including an integrated real-time general ledger, and supports shareholder record keeping and corporate action processing. And, it works with all types of funds: mutual funds, hedge funds, private equity funds, limited partnerships, real estate, funds, and insurance funds, with many classes and multiple managers. It even works for Islamic funds.

Empower yourself now:

fund administrators, prime brokers, custodians, fund managers

Internet Access: Wealth Advisor

Innovate with a full-fledged financial system accessible through the web (minus the cost)

Prospero's Wealth Advisor solution gives you all the functionality of a comprehensive financial system without the cost—and it's accessible via the internet. In one single system, you get three different functions—portfolio management, trading and customer relationship management (CRM)—via a secure online connection. Start by profiling leads and converting them into clients with a comprehensive CRM system, which includes a financial planning questionnaire to help you determine the investment profile that best suits your client and select ideal investments based on that profile. Then, get to work: The solution makes financial proposals based on model portfolios, compares portfolios to a model, and lets you trade all types of financial instruments. Then, it routes orders electronically (subjecting them to approval checks as necessary). You can even use it for foreign exchange transactions and payments. Finally, it comes with a full set of standard statements and reports, and lets you customize your own.

Empower yourself now:

traders, CFOs, CEOs, asset managers, relationship managers, independent financial advisors

Independent Financial Advisor

Forge durable client relationships by profiling clients, consolidating portfolios and creating personal reports

Prospero's Independent Financial Advisor solution provides all the functionality Independent Financial Advisors or Family Offices need to improve efficiency and increase profitability. A client relationship management (CRM) system manages leads from profiling to conversion into clients. You can replicate portfolios held at clients' financial institutions, slice and dice portfolios in many ways, consolidate portfolios, and trade any financial instrument. The solution automatically routes orders, warns you when investment restrictions are breached, and calculates fees. It compares your performance to a benchmark or model portfolio, calculates risk analytics, and graphically displays information with your firm's look and feel. Moreover, the solution is available via a cost-friendly software as a service (SaaS) model—making it ideal for small to mid-size independent financial advisors seeking remote access.

Empower yourself now:

independent financial advisors, family office managers, brokers, traders

Treasury

Increase visibility and productivity by centralizing all Treasury activities in a user-friendly dashboard

Prospero's Treasury solution centralizes all money market and currency trading activities. Create single or bulk orders for all Treasury instruments, manage and forecast cash positions, including orders with settlement pending, and manage cash positions across multiple banks, accounts and currencies. The solution calculates spreads for any currency pair and for money market instruments based on tiered amounts, then posts all entries in the general ledger. It monitors online counterparty risk, market risk, and credit risk, as well as investment restriction breaches. It allows you to view online limit breaches. Additionally, it's easy to use: From a user-friendly dashboard, you can drill down to individual transactions that make up the entire position in a currency pair and view the profit or loss you'd realize if you closed your position at the rate currently available for any trader or group of traders.

Empower yourself now:

Treasury traders, COOs

Trading

Seamlessly trade orders with a straight-through-processing (STP) system that eliminates error-prone double capture

Prospero's Trading solution lets you trade stocks, bonds, futures, options and foreign exchange online. Create single or mass orders with a few mouse clicks. The solution automatically adheres to pre-defined investment restrictions and authorized brokers, and performs automatic compliance and limits checks to lower your risk. Once orders are approved, it electronically routes them to stock exchanges using the FIX® format or to different execution desks. It calculates fees and taxes for immediate posting and settlement in portfolios. And, it lets you give access rights to different users, including employees, clients and third-party asset managers.

Empower yourself now:

traders, brokers, portfolio managers, relationship managers, clients, independent financial advisors

Credit Management & Margin Trading

Increase lending facilities while closely monitoring your risk and trading safely on margins

Prospero's Credit Management and Margin Trading solution helps you create and monitor all types of credit facilities, including loans and overdrafts, margin trading, limits of credit, liens and pledges. Configure advance and maintenance margins based on user-specified criteria, then create credit requests and limits and route for approval. Once you've filled the credit request and determined the loan pricing, the solution picks this up when disbursing a loan. The solution automatically performs credit checks when an order is captured, and routes the order to your credit or compliance officer for approval if there is a credit breach. It includes a flexible reporting feature that lets you create end-of-day and other reports. Best of all, the solution lets you create what-if scenarios to view the impact of an action on a credit situation (and thus simulate anticipated risks).

Empower yourself now:

credit officers, compliance officers, COOs

Core Operations

Seamlessly automate processing for all types of transactions

Prospero's Core Operations solution provides all the back-office functionality you need. It works with all financial products, including cash, money market instruments, bonds, equities, alternative instruments, structured products, commodities, real estate, private equity, derivatives, currencies, SWAPS, and funds that hold any of those products. It automates all processes (including account openings, cash and securities transfers, security settlement and price updates). It calculates fees (including management, advisory and performance fees) and commissions (including third-party commissions and trailer fees). It comes with hundreds of preconfigured reports, and allows you to create your own. And, it includes an integrated general ledger that can be turned on or off depending on your configuration. Best of all, unlike many other back-office systems, it was built from scratch in order to integrate seamlessly with any other Prospero solution or with your front-office system—without workarounds.

Empower yourself now:

COOs, CFOs, risk and compliance officers

S P S O E L U R T I O N S

SYSTEM OVERVIEW



Start by deciding how you want the system installed: It's designed for easy installation at your premises, or hosted remotely as a Software-as-a-Service (SaaS) model.

Install with the help of simple graphical wizards that walk you through the entire process with no complex scripts.

Work immediately: Prospero comes pre-configured with a number of processes and reports that will help you get started straight from the box with little set-up work required. Later, you can install additional solutions and add your own processes and reports.

Justify the value as soon as you experience the solution. It's easy to see the benefit when working with Prospero: Because all solutions store information to the same centralized database, you take no risks when it comes to leaking proprietary information.

Expand as your business grows. Prospero can handle large transaction volumes for an unlimited number of portfolios, and its database is scalable, with virtually no limitations on expansion. So no matter how large or small your business, you can benefit from an innovative financial solution that will take you to the next level of ingenuity in the financial industry.

Endorse. Prospero is a product of SAGE, a software development company that specializes in servicing the global financial industry. SAGE is the reference in providing integrated, modular and innovative Front to Back-Office Banking solutions. Its goal: Help financial professionals to focus on what they do best—managing money, not systems.

Engage by choosing Prospero today.



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